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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Attorney Docket No. AUS920010712US1 (7790/61)

Application Number 10/044,997

Filing Date JANUARY 10, 2002

First Named Inventor CRAIG H. BECKER, ET AL.

Group Art Unit 2152

Examiner

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SEP 3 0 2003

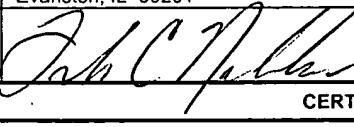
Technology Center 2100

| ENCLOSURES (check all that apply)  |  |  |
|--|--|--|
| <input type="checkbox"/> Amendment   | <input type="checkbox"/> Assignment Papers<br>(for an Application)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Drawings:   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Affidavits/declaration(s)   | <input type="checkbox"/> After Allowance Communication to Group  | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Status Letter   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition   | <input checked="" type="checkbox"/> Post Card Receipt                                      |
| <input type="checkbox"/> Petition for Extension of Time Request (duplic)   | <input type="checkbox"/> To Convert a Provisional Application  | <input type="checkbox"/> Additional Enclosure(s)<br>(please identify below):               |
| <input type="checkbox"/> Express Abandonment Request   | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  | <input type="checkbox"/>   |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement and PTO-1449 with two references | <input type="checkbox"/> Terminal Disclaimer   | <input type="checkbox"/>   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)  | <input type="checkbox"/> Small Entity Statement  | <input type="checkbox"/>   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   | <input type="checkbox"/> Request of Refund   | <input type="checkbox"/>   |
|  | <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 09-0447 (IBM CORPORATION). A duplicate copy of this sheet is enclosed.   |  |
|  | <input checked="" type="checkbox"/> I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed. |  |

## CALCULATION OF FEE

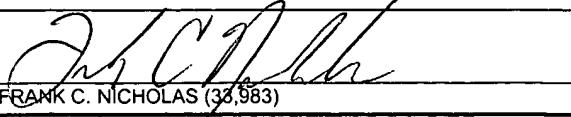
|   | Claims After Amendment |       | Highest No.<br>Previously Paid For | Present Extra | Small Entity    |           | Large Entity    |    |
|---|------------------------|-------|------------------------------------|---------------|-----------------|-----------|-----------------|----|
|   |                        |       |                                    |               | Rate            | Add'l Fee |                 |    |
| Total                                     |                        | Minus |                                    | 0             | x \$9=          | 0         | x \$18=         |    |
| Indep.                                    |                        | Minus |                                    | 0             | x \$42          | 0         | x \$84          |    |
| First Presentation of Multiple Dep. Claim |                        |       |                                    |               | +\$140          | ---       | +\$280=         |    |
|   |                        |       |                                    |               | total add'l fee | \$ 0      | total add'l fee | \$ |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |   |  |                         |
|-------------------------------|---|--|-------------------------|
| Firm<br>or<br>Individual name | FRANK C. NICHOLAS<br>Registration No. 33,983<br>CARDINAL LAW GROUP<br>1603 Orrington Avenue, Suite 2000<br>Evanston, IL 60201 |  |                         |
| Signature                     |    |  | Date September 22, 2003 |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22202-1450 on this date: September 22, 2003

|           |   |                          |
|-----------|---|--------------------------|
| Signature | <br>FRANK C. NICHOLAS (32,983) | Date: September 22, 2003 |
|-----------|---|--------------------------|



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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: September 22, 2003

(Date of Deposit)

FRANK C. NICHOLAS (33,983)

Name of applicant, assignee or registered representative

Signature

September 22, 2003

Date of Signature

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Technology Center 2100

PATENT

Case No.: AUS920010712US1

(9000/61)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CRAIG H. BECKER, ET AL.

Serial No.: 10/044,997

Examiner:

Filed: JANUARY 10, 2002

Group Art Unit: 2152

Title: METHOD AND SYSTEM FOR PEER TO PEER  
COMMUNICATION IN A NETWORK  
ENVIRONMENT

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants would like to call the Examiner's attention to the references listed on the enclosed form PTO-1449. Copies of the references are also enclosed.

Applicants believe that none of the listed references, alone or in combination disclose or suggest Applicant's claimed invention. Applicants were first made aware of these references with receipt of a foreign search report.

Dated: SEPTEMBER 22, 2003

Respectfully submitted,

FRANK C. NICHOLAS  
Registration No. (33,983)  
Attorney for Applicants

CARDINAL LAW GROUP  
Suite 2000  
1603 Orrington Avenue  
Evanston, IL 60201  
(847) 905-7111



|  |                                       |  |
|--|---------------------------------------|--|
| FORM PTO-1449  | SERIAL NO.<br>10/044,997              | CASE NO.<br>AUS920010712US1<br>(9000/61) |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT<br>(use several sheets if necessary) | FILING DATE<br>JANUARY 10, 2002       | GROUP ART UNIT<br>2152                   |
|  | APPLICANT(S): CRAIG H. BECKER, ET AL. |  |

| REFERENCE DESIGNATION |  | U.S. PATENT DOCUMENTS |             |      |                 |             |
|-----------------------|--|-----------------------|-------------|------|-----------------|-------------|
| EXAMINER INITIAL      |  | DOCUMENT NUMBER       | FILING DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|                       |  |                       |             |      |                 |             |

| FOREIGN PATENT DOCUMENTS |  |                 |                  |         |                 |                    |
|--------------------------|--|-----------------|------------------|---------|-----------------|--------------------|
| EXAMINER INITIAL         |  | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES NO |
|                          |  |                 |                  |         |                 |                    |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |  |
|------------------|--|--|
|                  | A1   | XP-002169330, SEC. 5.2 Routing Algorithms, 1996, pp. 347-367.  |
|                  | A2   | XP-008000376, Michael S. Marmor, "make the P2P leap with toadnode",<br>www.webtechniques.com, December 2000, pp 44-49. |

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SEP 30 2003

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|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.